

## Abrupt transitions in geophysical flows: the case of superrotation

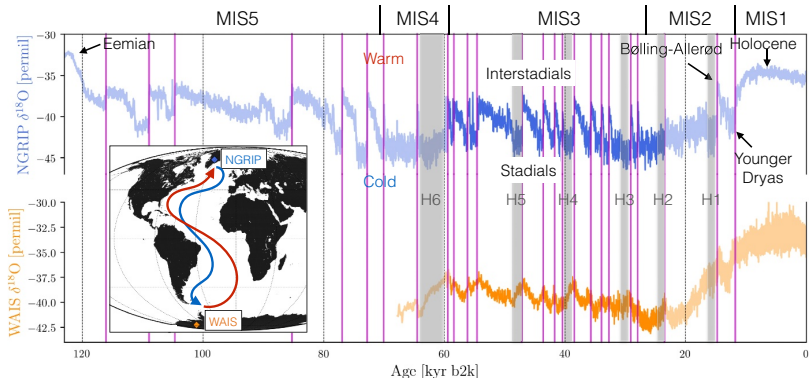
**Corentin Herbert**  
CNRS, ENS de Lyon, France

November 29, 2019 — CEA Saclay *Extreme Events*

In collaboration with F. Bouchet (ENS Lyon), C.-E. Brehier (Université Lyon),  
R. Caballero (Stockholm University), T. Lestang (University of Oxford),  
F. Ragone (ENS Lyon).



# Abrupt events in glacial climate

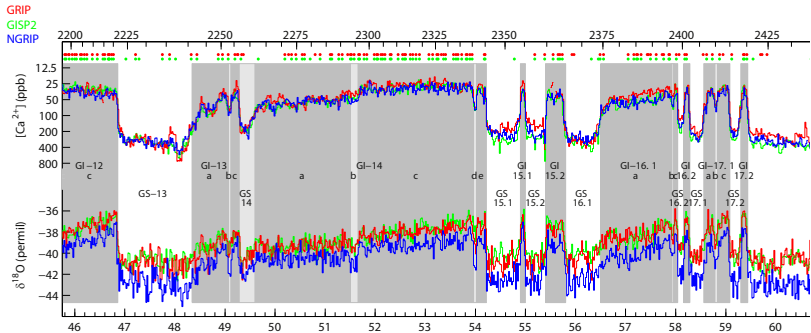


N. Boers, M. Ghil, and D.-D. Rousseau (2018). *Proc. Natl. Acad. Sci. U.S.A.*

- ▶ Dansgaard-Oeschger events: rapid NH warming ( $\sim 5$  K in  $\sim 10$  y)
- ▶ Heinrich events: massive iceberg rafts



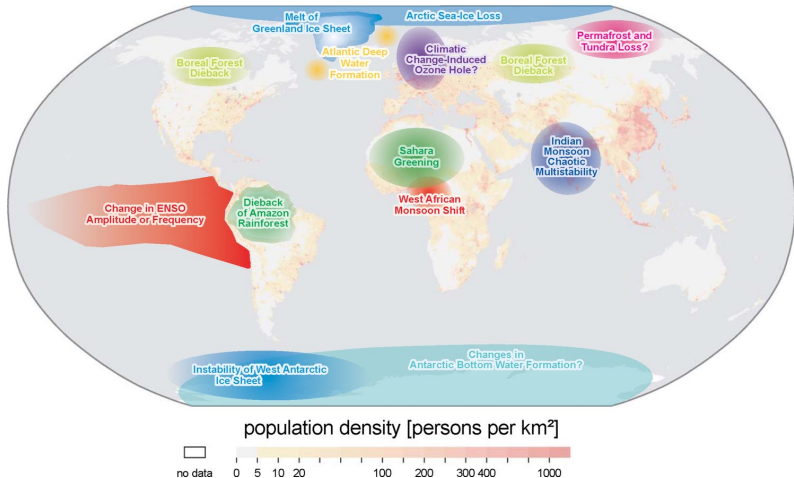
# Abrupt events in glacial climate: high-resolution



S. O. Rasmussen et al. (2014). *Quaternary Science Reviews*

- ▶ Transitions occur on very fast timescales ( $\leq 10$  years)
- ▶ Something happens in the atmosphere

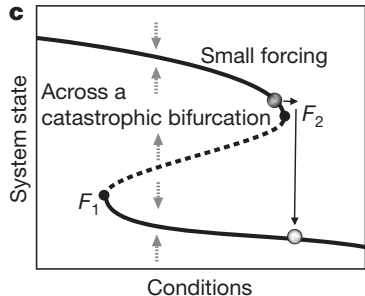
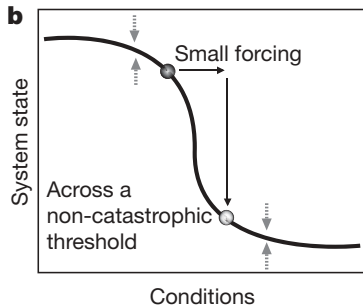
# Tipping points



T. Lenton et al. (2008). *Proc. Natl. Acad. Sci. U.S.A.*

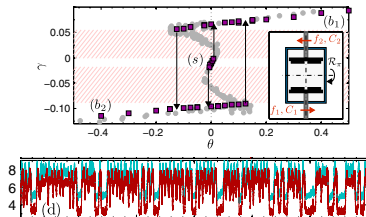
Many potential mechanisms for abrupt transitions have been suggested.

# Continuous or discontinuous?



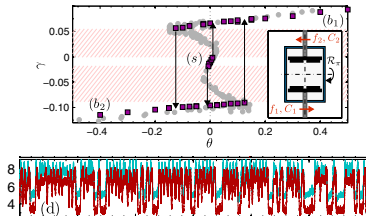
M. Scheffer et al. (2009). *Nature*

# Bistability in turbulent flows

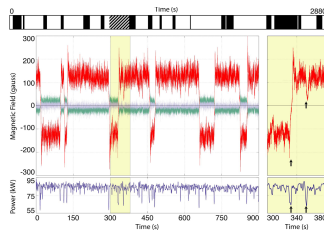


B. Saint-Michel, B. Dubrulle, L. Marié, F. Ravelet, and F. Daviaud  
(2013). *Phys. Rev. Lett.*

# Bistability in turbulent flows

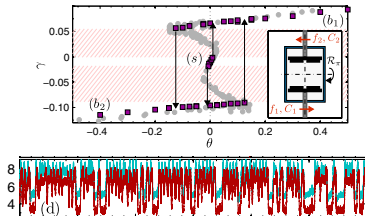


B. Saint-Michel, B. Dubrulle, L. Marié, F. Ravelet, and F. Daviaud  
(2013). *Phys. Rev. Lett.*

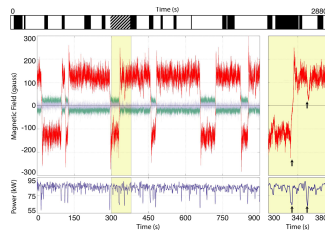


M. Berhanu et al. (2007). *EPL*

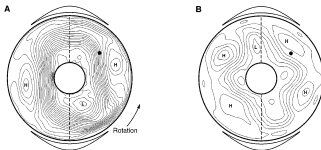
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(2013). *Phys. Rev. Lett.*

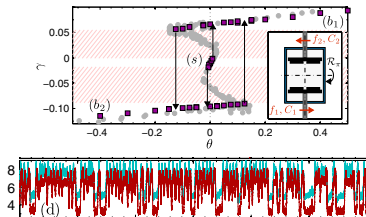


M. Berhanu et al. (2007). *EPL*

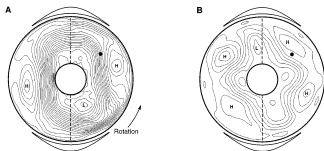


E. R. Weeks et al. (1997). *Science*

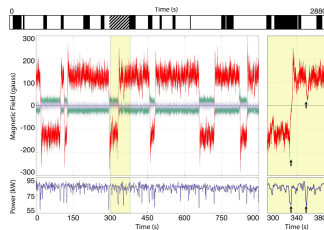
# Bistability in turbulent flows



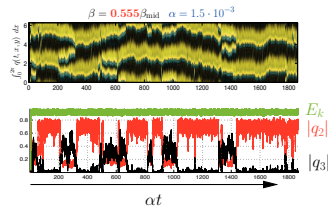
B. Saint-Michel, B. Dubrulle, L. Marié, F. Ravelet, and F. Daviaud (2013). *Phys. Rev. Lett.*



E. R. Weeks et al. (1997). *Science*



M. Berhanu et al. (2007). *EPL*



F. Bouchet, J. Rolland, and E. Simonnet (2019). *Phys. Rev. Lett.*

# Main questions

## General questions

- ▶ Does the general circulation admit multiple attractors? Can we compute them?
- ▶ What is the probability of transitions between climate attractors?
- ▶ Which dynamical mechanisms are at play?
- ▶ Which aspects are predictable?

I will focus on a candidate for bistability of the general circulation of the atmosphere: *Superrotation*



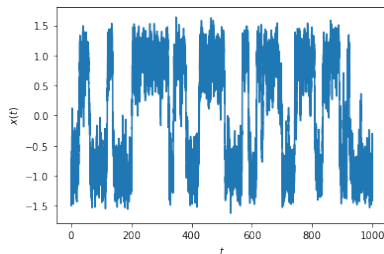
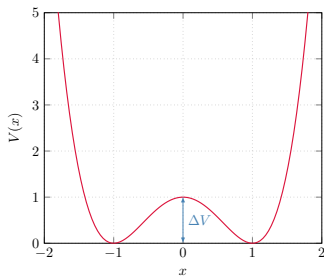
# Outline

- 1 Introduction
- 2 Abrupt transitions in bistable systems**
- 3 Equatorial Superrotation
- 4 Abrupt transitions to superrotation
- 5 Conclusion

# The Kramers problem<sup>1</sup>

Overdamped Langevin dynamics:

$$dX_t = -V'(X_t)dt + \sqrt{2\epsilon}dW_t, \quad V(x) = (x^2 - 1)^2.$$

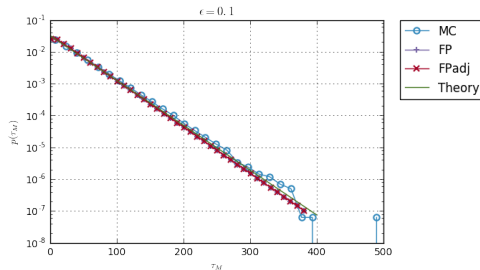
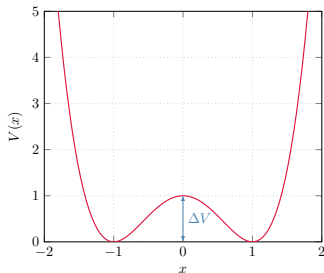


<sup>1</sup>H. A. Kramers (1940). *Physica*.

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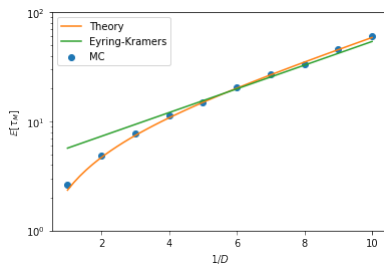
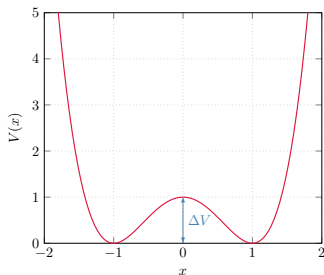
## Transition probability

In the weak noise limit ( $\epsilon \rightarrow 0$ ), transition times form a Poisson point process with transition rate  $\lambda = \tau^{-1} e^{-\Delta V/\epsilon}$ .

# The Kramers problem<sup>1</sup>

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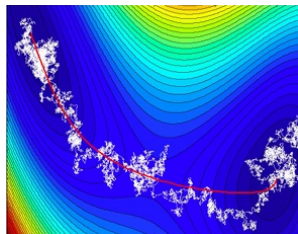
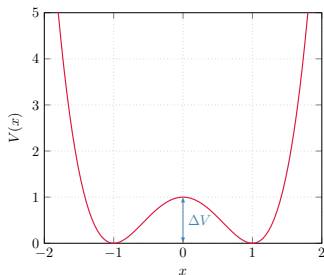


Fig. E. Vanden-Eijnden (Courant)

## Instantons

### Path integral formalism

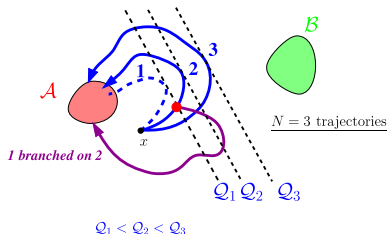
$$\mathbb{E}[\mathcal{O}] = \int \mathcal{D}[x] \mathcal{O}[x] \exp(-\mathcal{A}[x]/\epsilon), \quad \text{Action: } \mathcal{A}[x] = \frac{1}{4} \int dt (\dot{x} + V'(x))^2.$$

**Instanton:** most probable path:  $\min_x \{\mathcal{A}[x] | x(-\infty) = -1, x(+\infty) = 1\}$ .

<sup>1</sup>H. A. Kramers (1940). *Physica*.

# Rare event algorithms

## Adaptive Multilevel Splitting

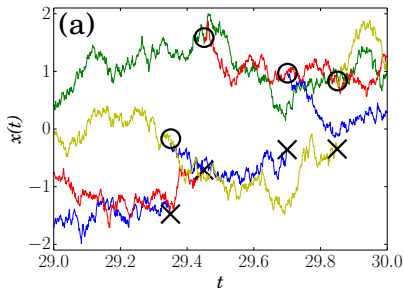


F. Cérou and A. Guyader (2007). *Stoch. Anal. Appl.*

Figure from J. Rolland, F. Bouchet, and E. Simonnet (2016). *J.*

*Stat. Phys.*

## Population dynamics



C. Giardinà, J. Kurchan, and L. Peliti (2006). *Phys. Rev. Lett.*

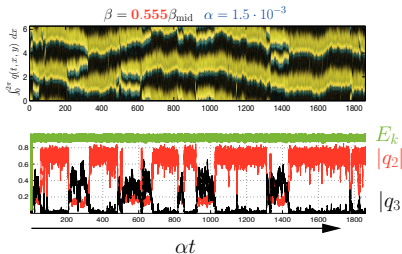
V. Lecomte and J. Tailleur (2007). *J. Stat. Mech.*

*Statistics biased in a controlled way to favor the occurrence of the rare event of interest.*

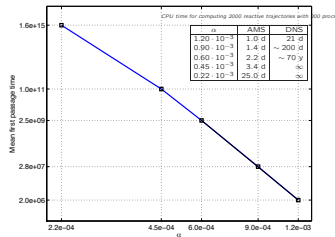
# Rare transitions in jet dynamics<sup>2</sup>

Zonal jets in the stochastic barotropic vorticity equation:

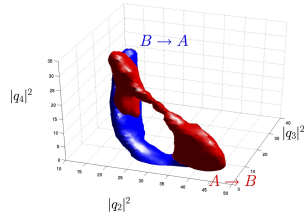
$$\partial_t \omega + \mathbf{u} \cdot \nabla \omega + \beta v = -\alpha \omega + \nu \Delta \omega$$



Arrhenius law:



“Instantons”:



Efficient sampling of reactive trajectories with AMS algorithm.

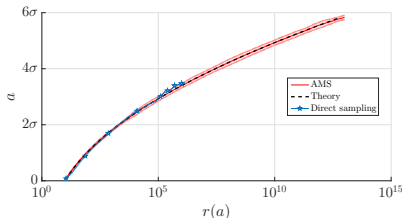
<sup>2</sup>F. Bouchet, J. Rolland, and E. Simonnet (2019). *Phys. Rev. Lett.*

# Return times: Ornstein-Uhlenbeck Process<sup>3</sup>

Return time of fluctuations of amplitude  $a$  for the Ornstein-Uhlenbeck process:

$$dX_t = -\alpha X_t dt + \sqrt{2\epsilon} dW_t. \quad (\text{we use } \alpha = 1, \epsilon = 1/2)$$

- ▶ Instantaneous Observable:  $A(X_t) = X_t$ .
- ▶ Time-averaged Observable:  $\bar{X}_T(t) = \frac{1}{T} \int_{t-T}^t X_s ds, t \in [T, T_a]$ .



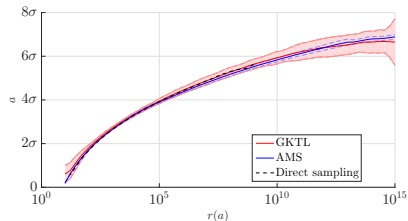
Instantaneous observable.

$N = 100, T_a = 5\tau_c, a_{\max} = 7\sigma$ , score

function:  $\xi(x, t) = x$ .

Theoretical formula:

$$\mathbb{E}_s[\tau_a] = \sqrt{\frac{\alpha}{2\pi\epsilon^3}} \int_{-\infty}^a dy e^{\frac{\alpha y^2}{2\epsilon}} \left( \int_{-\infty}^y dz e^{-\frac{\alpha z^2}{2\epsilon}} \right)^2.$$



Time-averaged observable ( $T = 10\tau_c$ ).

$N = 100, T_a = 50, a_{\max} = 6.5\sigma_T$ ,

$K = 10$  repetitions, and score function

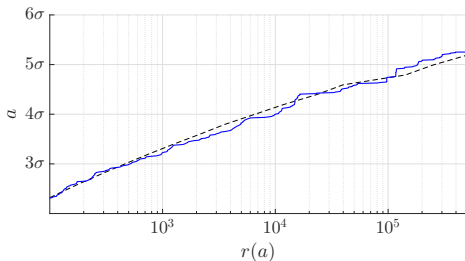
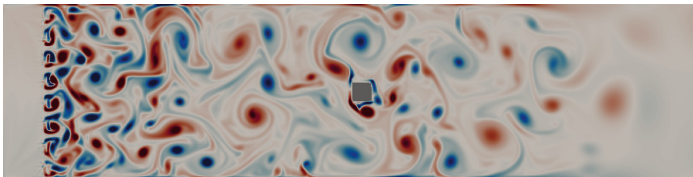
$\xi(t) = \bar{X}_T(t)$ .

<sup>3</sup>T. Lestang, F. Ragone, C.-E. Bréhier, C. Herbert, and F. Bouchet (2018). *J. Stat. Mech.*



# Return times: drag force in a turbulent flow<sup>4</sup>

*Grid turbulence:* 2D channel flow with square obstacle in the middle of the domain, simulated with a Lattice Boltzmann method.

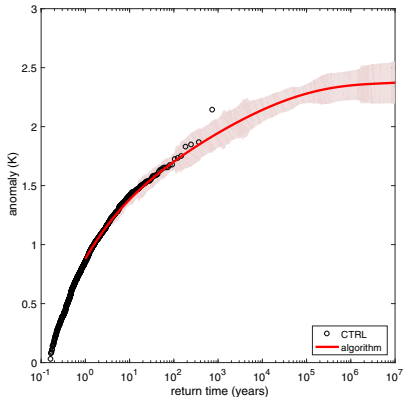


Return time plot for  
time-averaged drag ( $5\tau_c$ ):  
GKTL (blue),  
 $N = 128$ ,  $T_a = 10\tau_c$ ,  $K = 10$   
(cost  $10^4\tau_c$ ) and direct  
sampling (dashed, cost  $10^6\tau_c$ ).

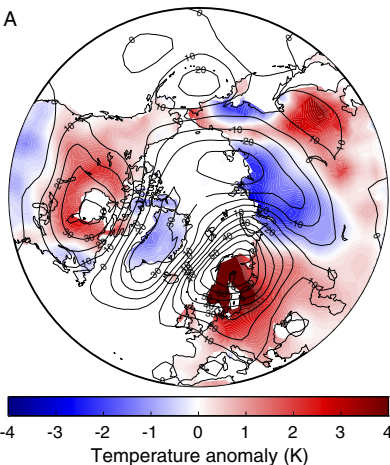
<sup>4</sup>T. Lestang, F. Ragone, C.-E. Bréhier, C. Herbert, and F. Bouchet (2018). *J. Stat. Mech.*

## Heat Waves<sup>6</sup>

Return time plot for 90-day temperature anomaly over Europe, computed with the PLASIM model <sup>5</sup>, using direct sampling (black, 1000 years) and the GKTL algorithm (red), at constant computational cost.



A



Average surface temperature (colors) and 500 hPa geopotential (contours) anomalies conditioned on the occurrence of heat wave conditions.

<sup>5</sup>K. Fraedrich, H Jansen, E Kirk, U Luksch, and F. Lunkeit (2005). *Meteorol. Z.*

<sup>6</sup>F. Ragone, J. Wouters, and F. Bouchet (2018). *Proc. Natl. Acad. Sci. U.S.A.*

# Outline

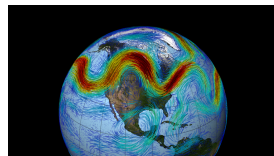
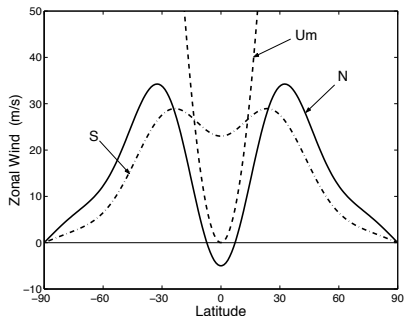
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# Superrotation: a robust phenomenon

Angular momentum:

$$M = a \cos \phi (\Omega a \cos \phi + u)$$

$$M > \Omega a^2 \iff u > \Omega a \frac{\sin^2 \phi}{\cos \phi} \equiv U_m$$

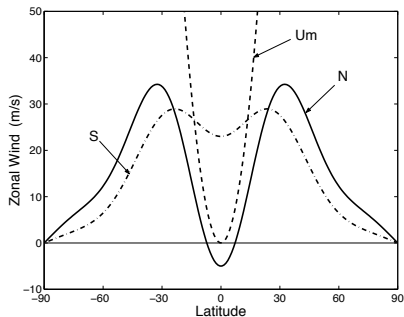


I. M. Held (1999). "Equatorial superrotation in Earth-like atmospheric models". In: *Bernhard Haurwitz Memorial Lecture*

# Superrotation: a robust phenomenon



In the solar system<sup>7</sup>

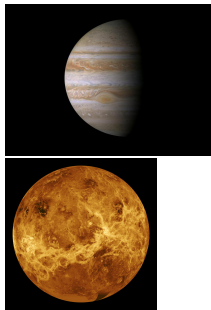


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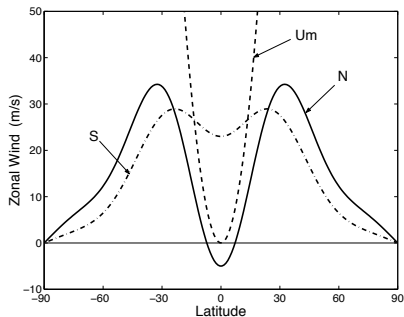
atmospheric models". In: *Bernhard Haurwitz Memorial Lecture*

<sup>7</sup>P. L. Read and S. Lebonnois (2018). *Annu. Rev. Earth Planet. Sci.*

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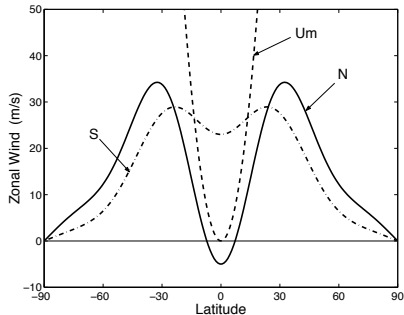
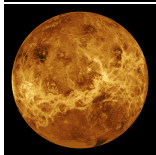
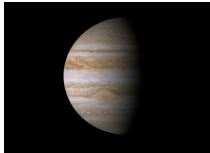
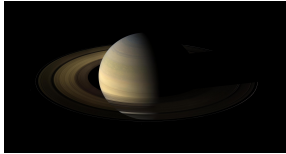
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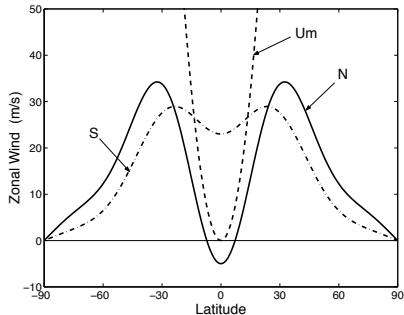
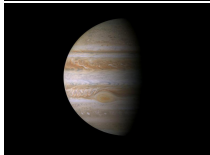
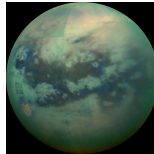
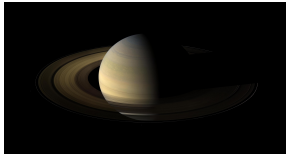


In the solar system<sup>7</sup>

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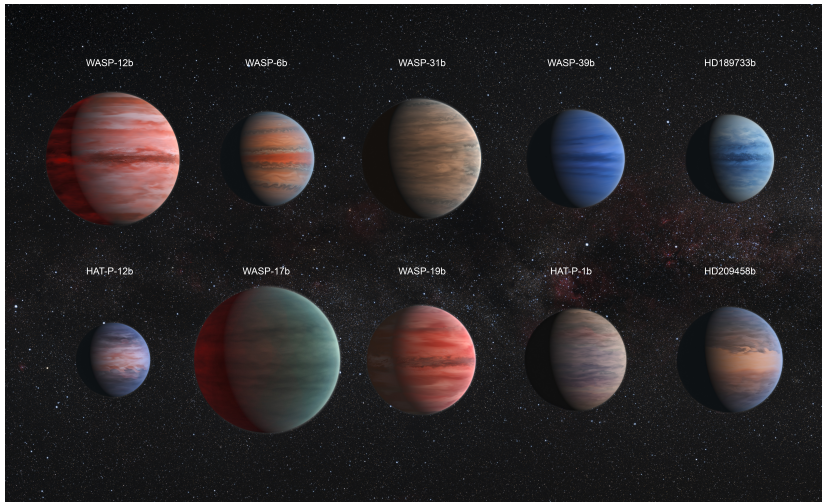
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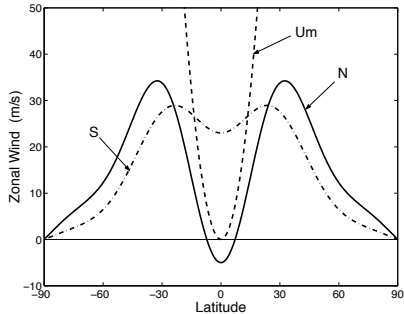
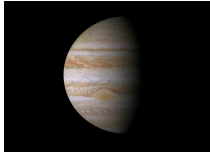
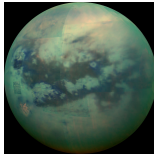
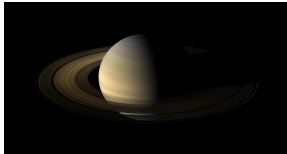
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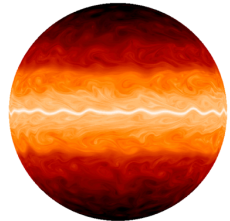


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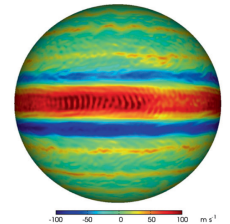


I. M. Held (1999). "Equatorial superrotation in Earth-like atmospheric models". In: *Bernhard Haurwitz Memorial Lecture*

Shallow-water equations<sup>7</sup>



Primitive equations<sup>8</sup>

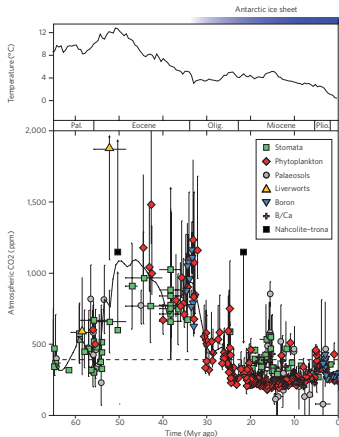


<sup>7</sup>R. K. Scott and L. M. Polvani (2008). *Geophys. Res. Lett.*

<sup>8</sup>T. Schneider and J. Liu (2009). *J. Atmos. Sci.*

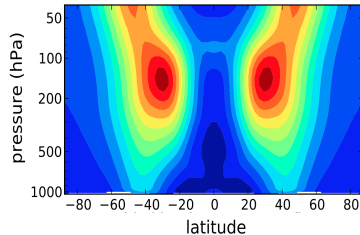
# Superrotation in past climates

Was the atmosphere superrotating during the Eocene?

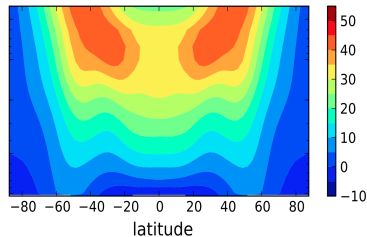


D. J. Beerling and D. L. Royer (2011). *Nature Geoscience*

COLD ( $1\times\text{CO}_2$ )



HOT ( $32\times\text{CO}_2$ )

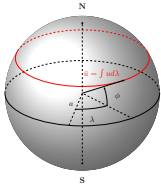


Zonal-mean zonal wind (CAM3).

R. Caballero and M. Huber (2010). *Geophys. Res. Lett.*

J. E. Tierney, A. M. Haywood, R. Feng, T. Bhattacharya, and B. L. Otto-Bliesner (2019). *Geophys. Res. Lett.*

# Dynamical mechanisms and bistability

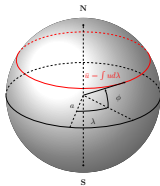


$$\frac{\partial \bar{u}}{\partial t} = 2\Omega \bar{v} \sin \phi - \frac{\bar{v}}{a \cos \phi} \frac{\partial(\bar{u} \cos \phi)}{\partial \phi} - \bar{\omega} \frac{\partial \bar{u}}{\partial p} + F + D \equiv \mathcal{F}[\bar{u}],$$

$$F = -\frac{1}{a \cos \phi} \frac{\partial}{\partial \phi} \overline{u' v' \cos \phi} - \frac{\partial}{\partial p} \overline{u' \omega'}.$$

- Which dynamical mechanisms maintain the jet?

# Dynamical mechanisms and bistability



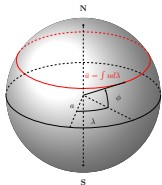
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► Which dynamical mechanisms maintain the jet?

► Meridional mean circulation conserves angular momentum

# Dynamical mechanisms and bistability



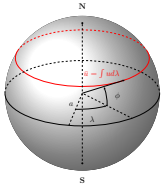
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► Which dynamical mechanisms maintain the jet?

- Meridional mean circulation conserves angular momentum
- Dissipation mixes it

# Dynamical mechanisms and bistability



$$\frac{\partial \bar{u}}{\partial t} = 2\Omega \bar{v} \sin \phi - \frac{\bar{v}}{a \cos \phi} \frac{\partial(\bar{u} \cos \phi)}{\partial \phi} - \bar{\omega} \frac{\partial \bar{u}}{\partial p} + F + D \equiv \mathcal{F}[\bar{u}],$$

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► Which dynamical mechanisms maintain the jet?

- Meridional mean circulation conserves angular momentum
- Dissipation mixes it
- Eddy momentum flux convergence ( $F > 0$ ) is required!



# Momentum convergence by Rossby waves

*Localised sources of Rossby waves produce momentum convergence.*

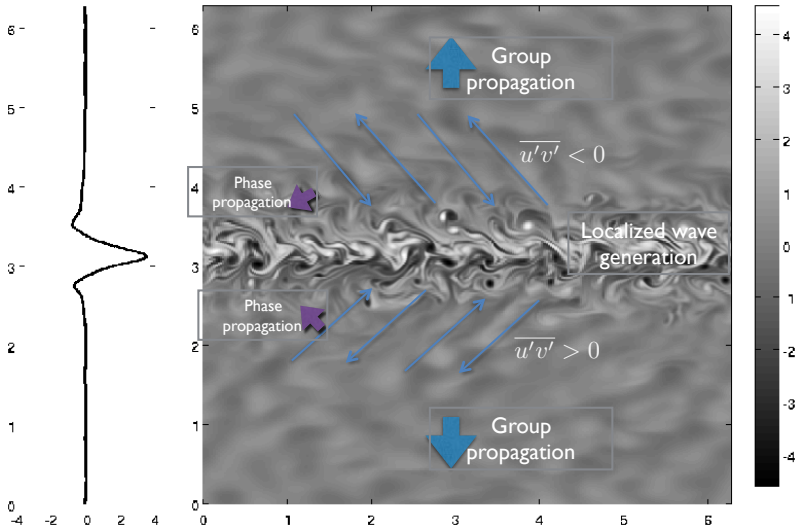


Image: W. Young.

## Forced equatorial waves: Matsuno-Gill problem

Linearized shallow-water equations on an equatorial beta plane:

$$\partial_t u - \beta y v + g \partial_x h = 0,$$

$$\text{or } \dot{X} + LX = 0$$

$$\partial_t v + \beta y u + g \partial_y h = 0,$$

$$L^\dagger = -L,$$

$$\partial_t h + H \partial_x u + H \partial_y v = 0.$$

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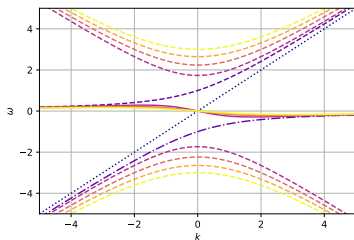
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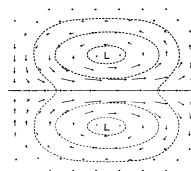
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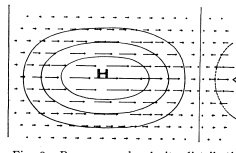
**Free modes**  $LX_{n,\ell} = i\omega_{n,\ell}X_{n,\ell}$



Dispersion relation  $\omega_{n,\ell}(k)$ .



Rossby ( $n = 1$ )



Kelvin

# Forced equatorial waves: Matsuno-Gill problem

Linearized shallow-water equations on an equatorial beta plane:

$$\partial_t u - \beta y v + g \partial_x h = -\epsilon u,$$

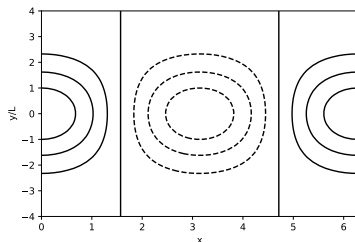
$$\partial_t v + \beta y u + g \partial_y h = -\epsilon v,$$

$$\partial_t h + H \partial_x u + H \partial_y v = Q - h/\tau.$$

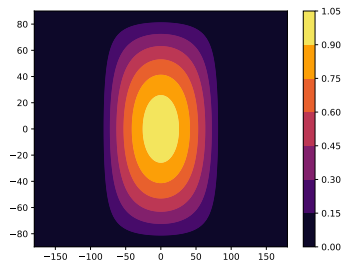
$$\text{or } \dot{X} + LX = B - DX$$

$$L^\dagger = -L,$$

## Forcing



$$Q = Q_0 \cos(kx) e^{-y^2/2}.$$



Instillation for a planet in synchronous rotation.

# Forced equatorial waves: Matsuno-Gill problem

Linearized shallow-water equations on an equatorial beta plane:

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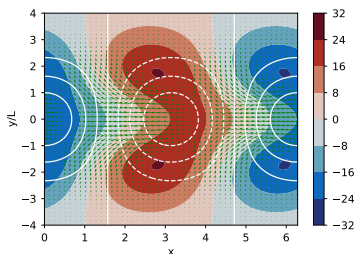
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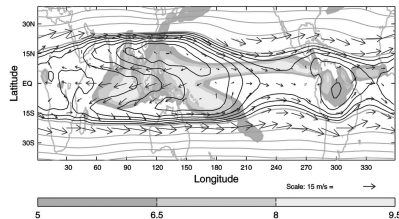
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**Matsuno-Gill response:**  $X = (L + D)^{-1}B$ .



Stationary height (colors) and velocity (arrows) perturbations for imposed forcing (white lines).



I. M. Dima, J. M. Wallace, and I Kraucunas (2005). *J. Atmos. Sci.*

Reanalysis (NCEP) 150-hPa  
annual-mean geopotential height  
(contours) and wind (arrows).

# Forced equatorial waves: Matsuno-Gill problem

Linearized shallow-water equations on an equatorial beta plane:

$$\partial_t u - \beta y v + g \partial_x h = -\epsilon u,$$

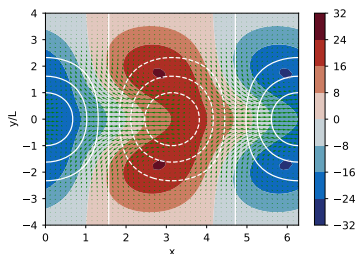
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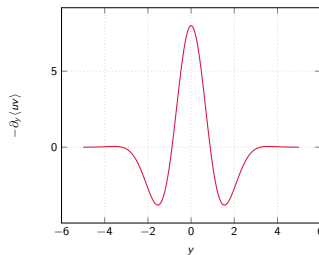
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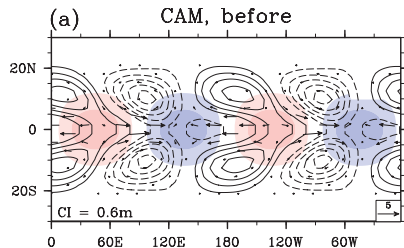
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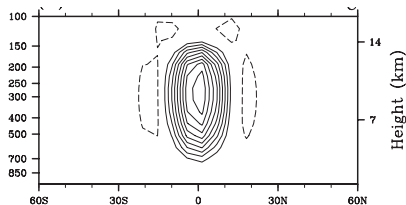
Reynolds stress  $F = -\partial_y \overline{uv}$ .

# Forced equatorial waves: GCM results

General Circulation Model simulations:



200 hPa geopotential height (contours) and wind (arrows).



Zonally averaged zonal wind.

N. P. Arnold, E. Tziperman, and B. Farrell (2012). *J. Atmos. Sci.*

CAM3.1, T42, 26 levels. Held-Suarez forcing<sup>9</sup>.

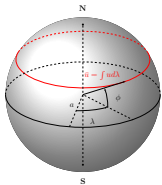
<sup>9</sup>I. M. Held and M. J. Suarez (1994). *Bull. Amer. Meteor. Soc.*

# Outline

- 1 Introduction
- 2 Abrupt transitions in bistable systems
- 3 Equatorial Superrotation
- 4 Abrupt transitions to superrotation
- 5 Conclusion



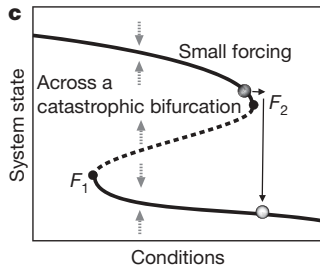
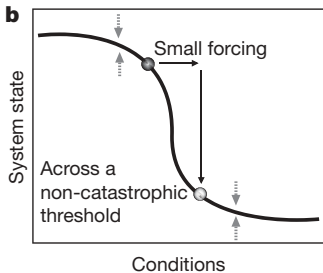
# Dynamical mechanisms for bistability



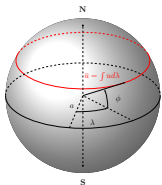
$$\frac{\partial \bar{u}}{\partial t} = 2\Omega \bar{v} \sin \phi - \frac{\bar{v}}{a \cos \phi} \frac{\partial(\bar{u} \cos \phi)}{\partial \phi} - \bar{\omega} \frac{\partial \bar{u}}{\partial p} + F \equiv \mathcal{F}[\bar{u}],$$

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- ▶ Which dynamical mechanisms maintain the jet?
- ▶ **Is the transition smooth or abrupt?** Bistability requires a positive feedback:  
 $\frac{\delta \mathcal{F}}{\delta \bar{u}} > 0.$



# Dynamical mechanisms for bistability



$$\frac{\partial \bar{u}}{\partial t} = 2\Omega \bar{v} \sin \phi - \frac{\bar{v}}{a \cos \phi} \frac{\partial(\bar{u} \cos \phi)}{\partial \phi} - \bar{\omega} \frac{\partial \bar{u}}{\partial p} + F \equiv \mathcal{F}[\bar{u}],$$

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- ▶ Which dynamical mechanisms maintain the jet?
- ▶ Is the transition smooth or abrupt? Bistability requires a positive feedback:  $\frac{\delta \mathcal{F}}{\delta \bar{u}} > 0$ .

## Potential feedback mechanisms

1. Hadley cell feedback
2. Wave-jet resonance

In which range of parameters are those positive feedback robust? Are they compatible? Are they robust to model complexity (will we see them in GCM and actual planets)?

# The Hadley cell feedback: theory<sup>10</sup>

1D axisymmetric 1-1/2 layer shallow-water equations:

$$\frac{\partial u}{\partial t} + \frac{v}{a} \frac{\partial u}{\partial \phi} - \frac{uv \tan \phi}{a} = 2\Omega v \sin \phi + F + R - \epsilon u, \quad R = -\bar{Q}u/h\Theta(\bar{Q}),$$

$$\frac{\partial v}{\partial t} + \frac{v}{a} \frac{\partial v}{\partial \phi} + \frac{u^2 \tan \phi}{a} = -2\Omega u \sin \phi - \frac{g^*}{a} \frac{\partial h}{\partial \phi} - \epsilon v,$$

$$\frac{\partial h}{\partial t} + \frac{1}{a \cos \phi} \frac{\partial(hv \cos \phi)}{\partial \phi} = -\frac{h - h_{eq}}{\tau} \equiv \bar{Q}.$$

<sup>10</sup>K. M. Shell and I. M. Held (2004). *J. Atmos. Sci.*

# The Hadley cell feedback: theory<sup>10</sup>

1D axisymmetric 1-1/2 layer shallow-water equations:

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Zonal acceleration budget at equator:

$$F - \epsilon u + \frac{u}{h} \frac{h - h_{eq}}{\tau} = 0.$$

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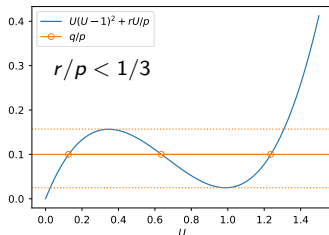
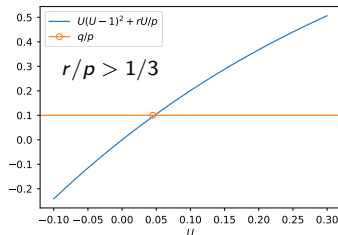
Layer thickness:

- ▶ Geostrophic balance with angular momentum conserving wind in the tropics
- ▶ Radiative equilibrium outside

<sup>10</sup>K. M. Shell and I. M. Held (2004). *J. Atmos. Sci.*

$$h - h_{eq} = -\frac{5}{18g^*}(u_{eq} - u)^2.$$

# The Hadley cell feedback: theory<sup>10</sup>

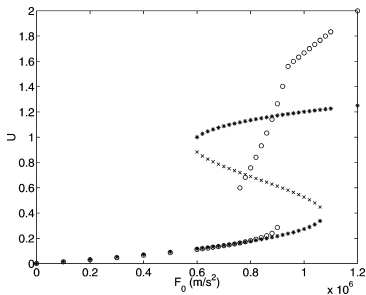
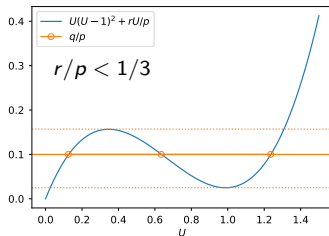
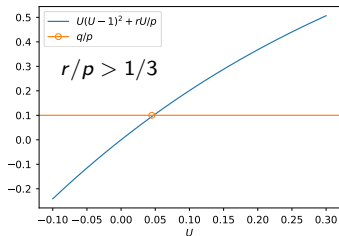


Zonal acceleration budget at equator:

$$pU(U-1)^2 + rU - q = 0, \quad U = u/u_{\text{eq}}, \quad p = \frac{5u_{\text{eq}}^2}{18g^*h_{\text{eq}}}, \quad q = \frac{F\tau}{u_{\text{eq}}}, \quad r = \epsilon\tau.$$

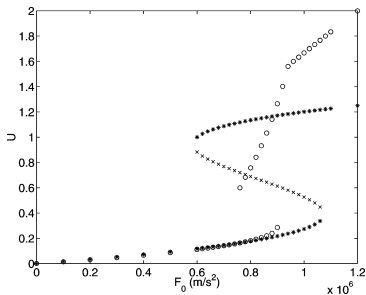
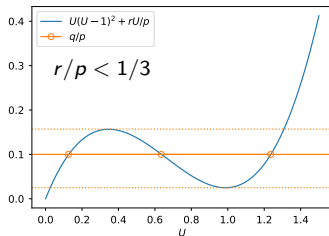
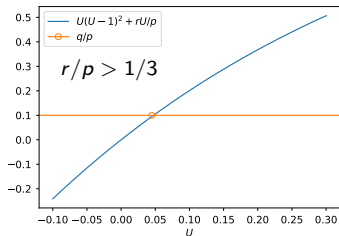
<sup>10</sup>K. M. Shell and I. M. Held (2004). *J. Atmos. Sci.*

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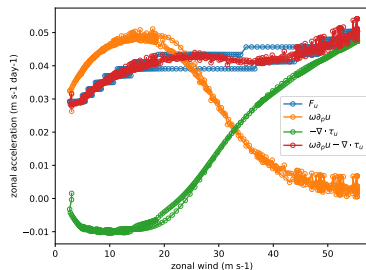
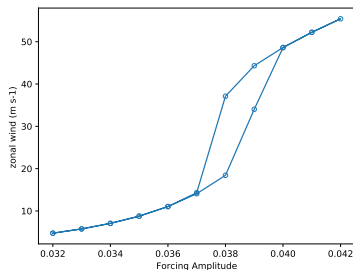
Can we obtain abrupt transitions through the Hadley cell positive feedback in a more complex model?

<sup>10</sup>K. M. Shell and I. M. Held (2004). *J. Atmos. Sci.*



# Hadley cell driven bistability: numerical simulations

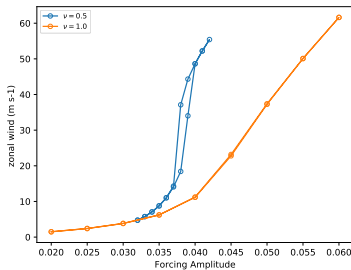
Axisymmetric primitive equations with prescribed *constant eddy forcing*.



► We observe hysteresis in a 2D model (Shell and Held was 1D).

# Hadley cell driven bistability: numerical simulations

Axisymmetric primitive equations with prescribed *constant eddy forcing*.



- ▶ We observe hysteresis in a 2D model (Shell and Held was 1D).
- ▶ However, strong sensitivity to vertical resolution and viscosity

# Wave-jet resonance feedback: theory

Linearized shallow-water equations on an equatorial beta plane :

$$\partial_t u - \beta y v + g \partial_x h = -\epsilon u,$$

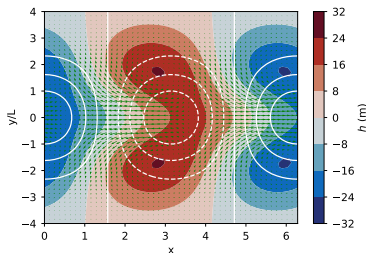
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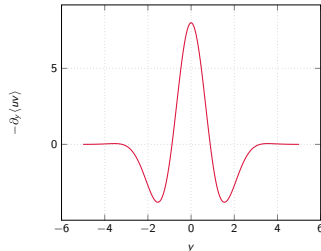
$$\text{or } \dot{X} + LX = B - DX$$

$$L^\dagger = -L,$$

**Matsuno-Gill response:**  $X = (L + D)^{-1} B$ .



Stationary height (colors) and velocity (arrows) perturbations for imposed forcing (white lines).



Reynolds stress  $F = -\partial_y \overline{uv}$ .

# Wave-jet resonance feedback: theory

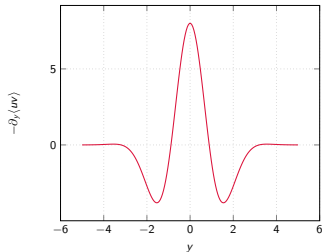
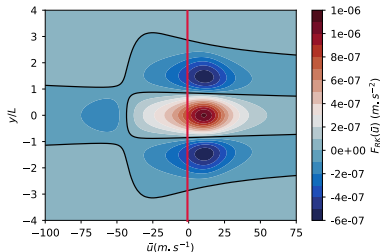
Linearized shallow-water equations on an equatorial beta plane **with mean-flow**  $U$ :

$$\partial_t u + U \partial_x u - \beta y v + g \partial_x h = -\epsilon u, \quad \text{or } \dot{X} + LX + ikUX = B - DX$$

$$\partial_t v + U \partial_x v + \beta y u + g \partial_y h = -\epsilon v, \quad L^\dagger = -L,$$

$$\partial_t h + U \partial_x h + H \partial_x u + H \partial_y v = Q - h/\tau.$$

**Matsuno-Gill response:**  $X = (L + D + ikUId)^{-1} B = \sum_{n,\ell} \frac{b_{n,\ell}}{\epsilon + i(\omega_{n,\ell} + k\bar{u})} X_{n,\ell}(y).$



Reynolds stress  $F = -\partial_y \overline{uv}$ .

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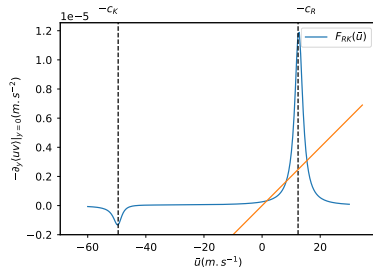
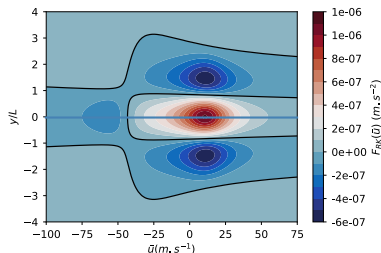
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# Wave-jet resonance feedback: theory

Linearized shallow-water equations on an equatorial beta plane :

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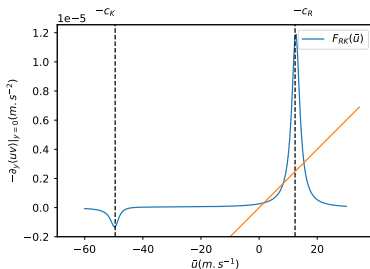
**Matsuno-Gill response:**  $X = (L + D + ikU \text{Id})^{-1} B = \sum_{n,\ell} \frac{b_{n,\ell}}{\epsilon + i(\omega_{n,\ell} + k\bar{u})} X_{n,\ell}(y).$

Reynolds stress at the equator:

$$F = \frac{Q_0^2 \epsilon k^2 (c_K - c_R) (2\bar{u} + c_K - 3c_R)}{12[\epsilon^2 + k^2(\bar{u} + c_R)^2][\epsilon^2 + k^2(\bar{u} + c_K)^2]}$$

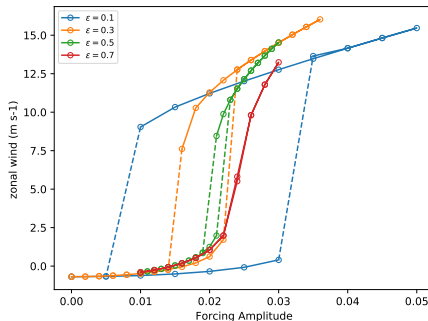
Bistability condition:  $kc_R/\epsilon \gg 1$ .

- ▶ Small Rossby number
- ▶ Large Reynolds number



# Wave-jet resonance driven bistability: numerical simulations

Axisymmetric primitive equations with prescribed *resonant eddy forcing*.  
Solved with Climt<sup>11</sup>, 91×45 grid points.



Hysteresis experiments for different values of the resonance width  $\epsilon$ .

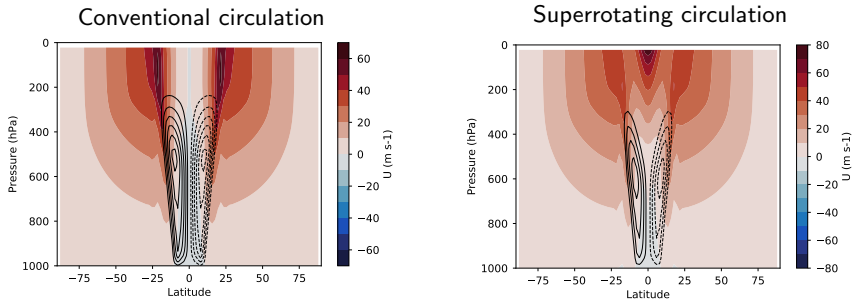
C. Herbert, R. Caballero, and F. Bouchet (2019). *J. Atmos. Sci.*

*As expected from theory, we observe hysteresis for small  $\epsilon$ , with a velocity jump of order  $\Delta U \approx c_R$ .*

<sup>11</sup>J. M. Monteiro, J. McGibbon, and R. Caballero (2018). *Geosci. Model Dev.*

# Wave-jet resonance driven bistability: numerical simulations

Axisymmetric primitive equations with prescribed *resonant eddy forcing*.  
Solved with Climt<sup>11</sup>,  $91 \times 45$  grid points.



Zonal wind (color) and meridional stream function (contours).

C. Herbert, R. Caballero, and F. Bouchet (2019). *J. Atmos. Sci.*

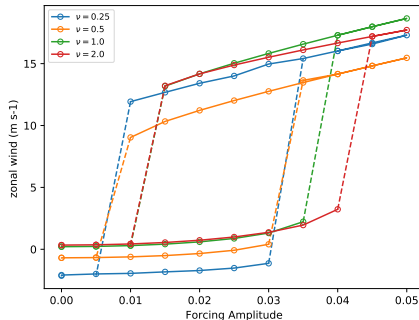
*The Hadley cell does not collapse in the superrotating state.*

<sup>11</sup> J. M. Monteiro, J. McGibbon, and R. Caballero (2018). *Geosci. Model Dev.*



# Wave-jet resonance driven bistability: numerical simulations

Axisymmetric primitive equations with prescribed *resonant eddy forcing*.  
Solved with Climt<sup>11</sup>, 91×45 grid points.



Hysteresis experiments for different values of the eddy viscosity  $\nu$ .

C. Herbert, R. Caballero, and F. Bouchet (2019). *J. Atmos. Sci.*

*Unlike Hadley cell driven bistability, abrupt transitions are robust to changes in viscosity.*

<sup>11</sup> J. M. Monteiro, J. McGibbon, and R. Caballero (2018). *Geosci. Model Dev.*

## Summary

- ▶ Well-defined theoretical framework for noise induced transitions in bistable systems.
- ▶ Rare event algorithms allow to study rare transitions in high-dimensional complex systems.
- ▶ *We have characterized theoretically the range of parameters for bistability and abrupt transitions to a superrotating state of the atmosphere.*
- ▶ Those results have been verified in a 2D axisymmetric primitive equation model.
- ▶ The Hadley-cell positive feedback by himself seems not robust and highly dependent on the dissipation.
- ▶ The positive feedback of the Rossby wave-jet resonance leads to a broad and robust bistability range.
- ▶ Superrotation without collapse of the Hadley cell is possible.

## Perspectives

- ▶ Can these results be reproduced in a full GCM?
- ▶ Do they extend to other eddy momentum flux convergence mechanisms?  
E.g. Kelvin-Rossby instability<sup>12</sup>

<sup>12</sup>S. Iga and Y. Matsuda (2005). *J. Atmos. Sci.* P. Wang and J. L. Mitchell (2014). *Geophys. Res. Lett.* P. Zurita-Gotor and I. M. Held (2018). *J. Atmos. Sci.*

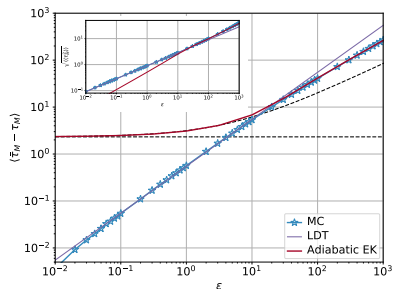
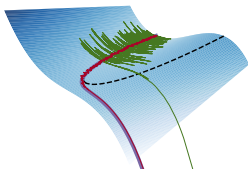


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Escape in stochastic saddle-node bifurcation<sup>13</sup>

$$dx_t = (x_t^2 + t)dt + \sqrt{2\epsilon}dW_t, \quad \tau_M = \inf\{t \geq t_0, x_t \geq M\}$$

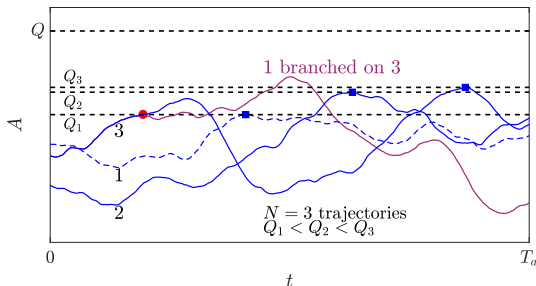


Competition between deterministic and stochastic effects.

<sup>13</sup>C. Herbert and F. Bouchet (2017). *Phys. Rev. E*.

# Return times: The TAMS algorithm<sup>14</sup>

Ensemble  $\{x_n^{(0)}(t)\}$  of  $N$  independent trajectories, duration  $T_a$ , weight  $w_0 = 1$ .



**Selection-mutation** steps, with **score function**  $\xi$ : at iteration  $j \geq 1$ ,

- **Selection.** trajectory  $n_j^*$  with the lowest score:

$$Q_{n_j^*}^{(j)} = \min_{1 \leq n \leq N} Q_n^{(j)}, \text{ with } Q_n^{(j)} = \sup_{0 \leq t \leq T_a} \xi(t, x_n^{(j-1)}(t)).$$

- **Mutation.** Resimulate the trajectory starting from the threshold  $Q_{n_j^*}^{(j)}$ .

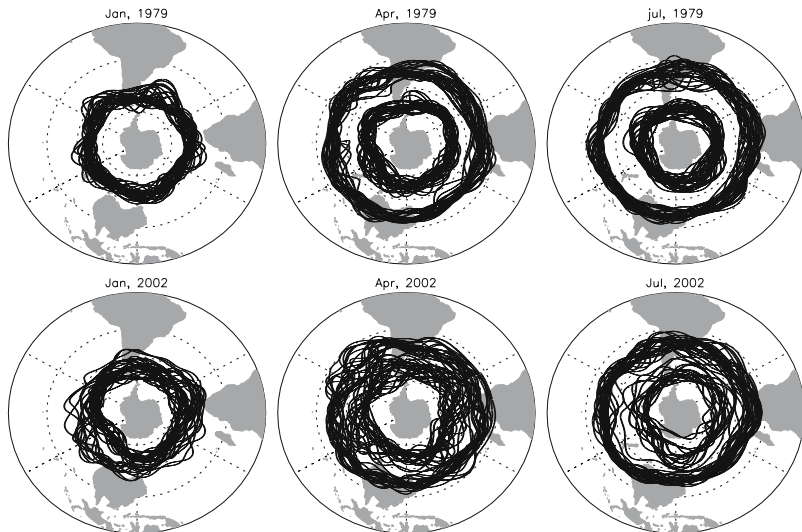
Associate weight  $w_j = (1 - 1/N)w_{j-1}$  to the trajectories in the ensemble.

Iterate  $J$  times, or until all trajectories reach a fixed threshold  $a_{\max}$ . Yield

$M = N + J$  trajectories with probabilities  $p_m = w_m / \sum_m w_m$ .

<sup>14</sup>T. Lestang, F. Ragone, C.-E. Bréhier, C. Herbert, and F. Bouchet (2018). *J. Stat. Mech.*

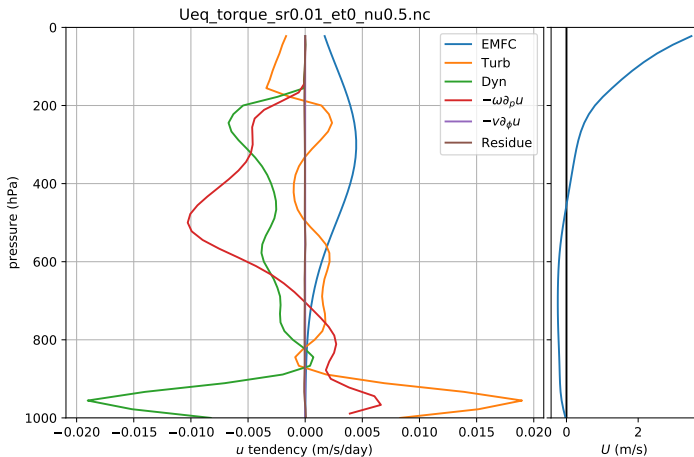
# Jet Stream in the Southern Hemisphere<sup>15</sup>



<sup>15</sup>D. Gallego, P. Ribera, R. Garcia-Herrera, E. Hernandez, and L. Gimeno (2005). *Clim. Dyn.*

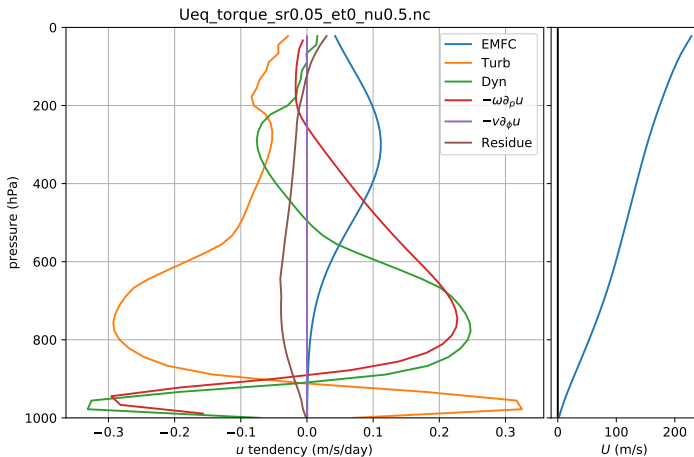


# Emergence of equatorial superrotation with constant forcing



Zonal acceleration budget

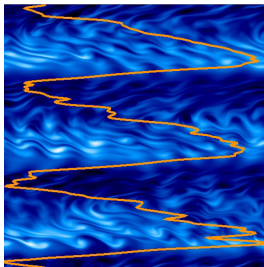
# Emergence of equatorial superrotation with constant forcing



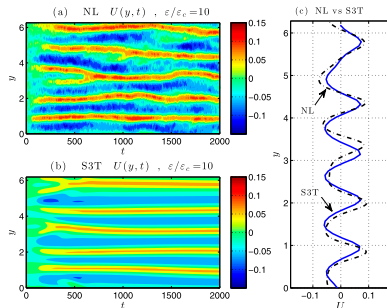
Zonal acceleration budget

# The quasi-linear framework

The quasi-linear approximation works well for 2D turbulence<sup>16</sup>, barotropic jets<sup>17</sup> or even full 3D GCMs<sup>18</sup>.



S. M. Tobias and J. B. Marston (2013). *Phys. Rev. Lett.*



N. C. Constantinou, B. F. Farrell, and P. J. Ioannou (2014). *J. Atmos. Sci.*

<sup>16</sup> J. Laurie, G. Boffetta, G. Falkovich, I. Kolokolov, and V. Lebedev (2014). *Phys. Rev. Lett.* G. Falkovich (2016). *Proc. R. Soc. A*; I. V. Kolokolov and V. Lebedev (2016). *Phys. Rev. E*; A. Frishman (2017). *Phys. Fluids*.

<sup>17</sup> B. F. Farrell and P. J. Ioannou (2003). *J. Atmos. Sci.* J. B. Marston, E. Conover, and T. Schneider (2008). *J. Atmos. Sci.* K. Srinivasan and W. R. Young (2012). *J. Atmos. Sci.* F. Bouchet, C. Nardini, and T. Tangarife (2013). *J. Stat. Phys.*

<sup>18</sup> T. Schneider and C. C. Walker (2006). *J. Atmos. Sci.* P. A. O'Gorman and T. Schneider (2007). *Geophys. Res. Lett.* F. Ait-Chaalal, T. Schneider, B. Meyer, and J. B. Marston (2016). *New J. Phys.*

# The quasi-linear framework

The quasi-linear approximation works well for 2D turbulence, barotropic jets or even full 3D GCMs.

$$\begin{aligned}
 \partial_t \bar{u} - \overline{v(\xi + \beta y)} &= -\partial_y \overline{u'v'} - \epsilon \bar{u}, \\
 \partial_t u' + \bar{\mathbf{u}} \cdot \nabla u' + v' \partial_y \bar{u} &= \beta y v' - g \partial_x h' - \epsilon u', \\
 \partial_t v' + \bar{\mathbf{u}} \cdot \nabla v' + v' \partial_y \bar{v} &= -\beta y u' - g \partial_y h' - \epsilon v', \\
 \partial_t h' + \bar{u} \cdot \nabla h' + h' \partial_y \bar{v} + \bar{h} \nabla \cdot \mathbf{u}' + v' \partial_y \bar{h} &= Q' - h' / \tau.
 \end{aligned}$$

It remains extremely difficult to compute the mean flow profile and Reynolds stress tensor in general<sup>a</sup>.

<sup>a</sup>J. Laurie, G. Boffetta, G. Falkovich, I. Kolokolov, and V. Lebedev (2014). *Phys. Rev. Lett.* E. Woillez and F. Bouchet (2017). *EPL*; A. Frishman and C. Herbert (2018). *Phys. Rev. Lett.* E. Woillez and F. Bouchet (2019). *J. Fluid Mech.*

# The quasi-linear framework

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 \partial_t v' + \bar{\mathbf{u}} \cdot \nabla v' + v' \partial_y \bar{v} &= -\beta y u' - g \partial_y h' - \epsilon v', \\
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 \end{aligned}$$

It remains extremely difficult to compute the mean flow profile and Reynolds stress tensor in general<sup>a</sup>.

*Let us assume there is no meridional shear.*

<sup>a</sup>J. Laurie, G. Boffetta, G. Falkovich, I. Kolokolov, and V. Lebedev (2014). *Phys. Rev. Lett.* E. Woillez and F. Bouchet (2017). *EPL*; A. Frishman and C. Herbert (2018). *Phys. Rev. Lett.* E. Woillez and F. Bouchet (2019). *J. Fluid Mech.*